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|   |  |   |
|---|--|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) |  | Attorney Docket No. <b>P-3601-US</b>  |
|   |  | First Inventor or Application Identifier <b>BLAUKOPF, Daniel</b>                  |
|   |  | Title <b>A MEDIATION PROTOCOL FOR COMMUNICATION BETWEEN SOFTWARE APPLICATIONS</b> |
|   |  | Express Mail Label No. _____  |

|  |  |  |
|--|--|--|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning patent application contents   |  | Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |
| <p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 13]<br/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3] (Total Pages 3)</p> <p>5. <input type="checkbox"/> Oath or Declaration</p> <p>6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on, <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |  |  |
| <b>ACCOMPANYING APPLICATION PARTS</b>  |  |  |
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 5303) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Postcard<br/>Other: _____</p>  |  |  |

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

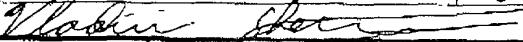
Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner Group/Art Unit:

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which any oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

|  |  |           |  |
|--|--|-----------|--|
| <input type="checkbox"/> Customer Number or Bar Code | (Insert Customer No. or Attach barcode label here) |           | <input checked="" type="checkbox"/> Correspondence address below |
| Name   | Elan, Pearl, Latzer & Cohen-Zadek                  |           |  |
| Address  | One Crystal Park, Suite 210, 2011 Crystal Drive    |           |  |
| City   | Arlington  | State     | VA   |
| Country  | USA  | Telephone | (703) 486-0600   |
| Zip Code   | 22202-3709   |           |  |
| Fax  | (703) 486-0800                                     |           |  |

|                   |   |                                   |        |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Vladimir Sheman   | Registration No. (Attorney/Agent) | 43,116 |
| Signature         |  |                                   |        |
|                   | Date  | 26 September 2001                 |        |

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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9/27/01  
PTO

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   |                  |
| Filing Date          |                  |
| First Named Inventor | BLAUKOPF, Daniel |
| Examiner Name        |                  |
| Group / Art Unit     |                  |
| Attorney Docket No.  | P-3601-US        |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 05-0649  
Deposit Account Name: Eitan, Pearl, Latzer & Cohen-Zedek  
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 710      | 201      | 355      | Utility filing fee     |          |
| 106      | 320      | 206      | 160      | Design filing fee      |          |
| 107      | 490      | 207      | 245      | Plant filing fee       |          |
| 108      | 710      | 208      | 355      | Reissue filing fee     |          |
| 114      | 150      | 214      | 75       | Provisional filing fee |          |

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from Below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | X              | =        |
| Independent Claims | -3** =       | X              | =        |
| Multiple Dependent | X            |                | =        |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   |
|----------------------------|----------------------------|---|
| 103                        | 18                         | 203 9 Claims in excess of 20                                    |
| 102                        | 80                         | 202 40 Independent claims in excess of 3                        |
| 104                        | 270                        | 204 135 Multiple dependent claim, if not paid                   |
| 109                        | 80                         | 209 40 **Reissue independent claims over original patent        |
| 110                        | 18                         | 210 9 **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\* or number previously paid, if greater. For Reissues, see above

## 3. ADDITIONAL FEES

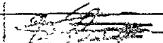
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   | Fee Paid |
|----------------------------|----------------------------|---|----------|
| 105                        | 130                        | 205 65 Surcharge - late filing fee or oath  |          |
| 127                        | 50                         | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                        | 130                        | 139 130 Non-English specification   |          |
| 147                        | 2,520                      | 147 2,520 For filing a request for ex parte reexamination                         |          |
| 112                        | 920*                       | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                        | 1,840*                     | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                        | 110                        | 215 55 Extension for reply within first month                                     |          |
| 116                        | 390                        | 216 195 Extension for reply within second month                                   |          |
| 117                        | 890                        | 217 445 Extension for reply within third month                                    |          |
| 118                        | 1,390                      | 218 695 Extension for reply within fourth month                                   |          |
| 128                        | 1,890                      | 228 945 Extension for reply within fifth month                                    |          |
| 119                        | 310                        | 219 155 Notice of Appeal  |          |
| 120                        | 310                        | 220 155 Filing a brief in support of an appeal                                    |          |
| 121                        | 270                        | 221 135 Request for oral hearing  |          |
| 138                        | 1,510                      | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                        | 110                        | 240 55 Petition to revive - unavoidable   |          |
| 141                        | 1,240                      | 241 620 Petition to revive - unintentional  |          |
| 142                        | 1,240                      | 242 620 Utility issue fee (or reissue)  |          |
| 143                        | 440                        | 243 220 Design issue fee  |          |
| 144                        | 600                        | 244 300 Plant issue fee   |          |
| 122                        | 130                        | 122 130 Petitions to the Commissioner   |          |
| 123                        | 50                         | 123 50 Petitions related to provisional applications                              |          |
| 126                        | 240                        | 126 240 Submission of Information Disclosure Stmt                                 |          |
| 581                        | 40                         | 581 40 Recording each patent assignment per property (times number of properties) |          |
| 146                        | 710                        | 246 355 Filing a submission after final rejection (37 CFR 1.129(a))               |          |
| 149                        | 710                        | 249 355 For each additional invention to be examined (37 CFR 1.129(b))            |          |
| 179                        | 710                        | 279 355 Request for Continued Examination (RCE)                                   |          |
| 169                        | 900                        | 169 900 Request for expedited examination of a design application                 |          |

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

Complete (if applicable)

|                   |   |                                      |                    |           |                |
|-------------------|---|--------------------------------------|--------------------|-----------|----------------|
| Name (Print/Type) | Vladimir Sherman  | Registration No.<br>(Attorney/Agent) | 43,116             | Telephone | (703) 486-0600 |
| Signature         |  | Date                                 | September 26, 2001 |           |                |

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